



ATTORNEY DOCKET NO. 14014.0410U1
PATENT

TFW
ce

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Warren Strober) Art Unit: 1644
Application No.: 10/517,898) Examiner: Ouspenski, Ilia I.
Filing Date: July 27, 2005) Confirmation No.: 5707
For: METHODS OF TREATING AND PREVENTING)
COLITIS INVOLVING IL-13 AND NK- T CELLS)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

BALLARD SPAHR ANDREWS & INGERSOLL, LLP
Customer Number 36339

April 21, 2009

04/24/2009 LNGUYEN1 00000019 10517898

01 FC:1006

100.00 OP

Dear Sir:

Pursuant to the requirements of 37 C.F.R. § 1.56, Applicants submit a list of documents known to Applicants or Applicants' attorneys. Applicants have not included copies of cited U.S. patents or published U.S. patent applications. But, pursuant to 37 C.F.R. § 1.98(a)(2)(iv), and for the Examiner's review, Applicants have enclosed copies of the following: (1) cited foreign patents, and (2) documents from the prosecution of domestic and foreign applications.

Regarding category (2), documents from the prosecution of domestic and foreign patent applications, Applicants note that 37 C.F.R. § 1.98(b) does not mention, and thus does not require, that Applicants provide any particular set of identifying information. While 37 C.F.R. § 1.98(a)(1) requires Applicants to provide a list of such documents, it does not require Applicants to include in that list specific identifying information. 37 C.F.R. § 1.98(a)(2)(iv) further requires Applicants to provide a copy of such documents (see APPENDIX A). Thus, the rules do not require that Applicants provide any particular set of information about the documents in category

(2) prior to the Examiner's consideration of all the cited information. Nevertheless, in order to be as helpful as possible, Applicants have provided (a) information regarding the source of the documents, and (b) a title or description of each document. The prosecution record of either a domestic or foreign patent application is the source for each document. Accordingly, in the list required by 37 C.F.R. § 1.98(a)(1), Applicants have provided information about the source of each document. The information provided mirrors the requirements for cited foreign patent applications and U.S. patent applications as set forth by 37 C.F.R. § 1.98(b). For at least these reasons, Applicants respectfully request consideration of all documents submitted in the present Supplemental Information Disclosure Statement.

Regarding category (2), Applicants now submit for the Examiner's consideration, copies of Office Actions, Amendments, Responses, and other correspondence from the prosecution of the related foreign patent applications. Pursuant to 37 C.F.R. § 1.98(a)(2)(iv), Applicants have enclosed a copies of each document. APPENDIX A lists these documents, provides identifying information, and includes a column for the Examiner's initials.

Pursuant to M.P.E.P. § 2001.06(b) and 37 C.F.R. § 1.98(b)(3), Applicants now bring to the attention of the Examiner the following co-pending U.S. patent applications. The pending applications are stored in the USPTO's Image File Wrapper (IFW) system. In accordance with the waiver to 37 C.F.R. § 1.98(a)(2)(iii) dated September 21, 2004, Applicants have not included copies of the cited specifications, which include the claims and drawings thereof.


SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT LIST pursuant to M.P.E.P. § 2001.06(b) and 37 C.F.R. § 1.98(b)(3) for Application No. 10/517,898 (Attorney Docket #: 14014.0410U1) DISCLOSURE OF CO-PENDING U.S. PATENT APPLICATIONS					
Initials	No. Cited	Application #	Filing Date	Inventor	Attorney Docket #
	1	11/918,711	04/14/2006	Strober et al.	14014.0410U3

This Supplemental Information Disclosure Statement is believed to be filed in a timely manner pursuant to 37 C.F.R. § 1.97(c), in that neither a final Office Action nor a Notice of Allowance has been mailed to Applicants. Accordingly, Applicants enclose the fee required under 37 C.F.R. § 1.97(c)(2).

Consideration of the cited documents and making the same of record in the prosecution of the above-referenced application are respectfully requested.

A credit card payment submitted *via* Form PTO-2038 in the amount of \$180.00, representing the fee under 37 C.F.R. § 1.17(p), is enclosed. This amount is believed to be correct; however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0629.

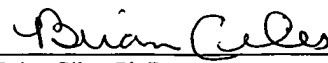
Respectfully submitted,


P. Brian Giles, Ph.D.
Registration No. 57,896

BALLARD SPAHR ANDREWS & INGERSOLL, LLP
Customer Number 36339
(678) 420-9300
(678) 420-9301 (fax)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence, including any items indicated as attached or included, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.


P. Brian Giles, Ph.D.

4-21-2009
Date

APPENDIX A
to the Supplemental Information Disclosure Statement
LIST OF PROSECUTION DOCUMENTS FROM FOREIGN PATENT APPLICATIONS

Initials	Identifying Information	Document	Date of Document
	Attorney Docket No.: 14014.0410P1 Country Code: PCT Application No.: PCT/US02/18790 Filing Date: 6/14/2002 Applicant: National Institutes of Health (NIH)	International Preliminary Examination Report	05/11/2005
		Written Opinion	10/27/2004
		International Search Report	09/12/2002
	Attorney Docket No.: 14014.0410P2 Country Code: PCT Application No.: PCT/US2006/014393 Filing Date: 4/14/2006 Applicant: National Institutes of Health (NIH)	International Preliminary Report on Patentability	10/25/2007
		International Search Report and Written Opinion	04/24/2007
	Attorney Docket No.: 14014.0410CA1 Country Code: CA Application No.: 2489540 Filing Date: 6/14/2006 Applicant: National Institutes of Health (NIH)	Voluntary Amendment	12/14/2004
	Attorney Docket No.: 14014.0410EP1 Country Code: EPC Application No.: 02742057.9 Filing Date: 6/14/2002 Applicant: National Institutes of Health (NIH)	Amendment and Response to Communication	08/18/2008
		Communication Pursuant to Article 94(3) EPC	02/13/2008
		Amendment and Response to Communication	10/22/2007
		Communication Pursuant to Article 96(2) EPC	04/12/2007
		Communication Pursuant to Article 96(1) and Rule 51(1) EPC	09/08/2006
		Communication	08/22/2006
		Response to Communication	02/28/2005
		Communication Pursuant to Rules 109 and 110 EPC	02/02/2005
	Attorney Docket No.: 14014.0410EP2 Country Code: EPC Application No.: 06750435.7 Filing Date: 4/14/2006 Applicant: National Institutes of Health (NIH).	Response to Communication	10/28/2008
		Communication Pursuant to Article 94(3) EPC	02/25/2008
		Communication of European Publication Number	12/19/2007

ATTORNEY DOCKET NO. 14014.0410U1
APPLICATION NO. 10/517,898

	Attorney Docket No.: 14014.0410JP1	Amendment	03/02/2009
	Country Code: JP		
	Application No.: 2004-515561	Notice of Reasons for Rejection	08/26/2006
	Filing Date: 6/14/2002		
	Applicant: National Institutes of Health	Voluntary Amendment	02/22/2005
	Attorney Docket No.: 14014.0410NZ1 Country Code: NZ Application No.: 537726 Filing Date: 6/14/2002 Applicant: National Institutes of Health.	Notice of Acceptance of Complete Specification	06/05/2008
		Amendment and Response to Examination Report	04/03/2008
		Examination Report	03/14/2008
		Amendment and Response to Examination Report	03/04/2008
		Examination Report	07/20/2007
		Examination Report	03/29/2006
		Request for Entry in National Phase	01/14/2005

<u>APPENDIX A</u> to the Supplemental Information Disclosure Statement LIST OF PROSECUTION DOCUMENTS FROM U.S. PATENT APPLICATIONS			
Initials	Identifying Information	Document	Date of Document
	Attorney Docket No.: 14014.0410U3 Application No.: 11/918,711 Filing Date: 4/14/2006 First Named Author: Strober	Notice of Publication of Application	04/09/2009
		Filing Receipt	12/31/2008
		Notice of Acceptance of Application	12/31/2008